Academic and Non-academic Grievance Handling Procedures
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1.0 Overview

Investment Banking Institute Business School Pty Ltd (“IBIBS”) is committed to developing and maintaining an effective, timely, fair and equitable grievance handling system which is easily accessible to all complainants.

IBIBS aims to:

- Develop a culture that views grievances as an opportunity to improve the organisation and how it works;
- Set in place a grievance handling system that is client focussed and helps IBIBS to prevent grievances from recurring;
- Ensure that any grievances are resolved promptly, objectively and with sensitivity and in complete confidentiality;
- Ensure that the views of each complainant and respondent are respected and that any party to a grievance is not discriminated against nor victimised;
- Ensure that there is a consistent response to grievances.

A grievance can be defined as a person’s expression of dissatisfaction with any aspect of IBIBS’s services and activities, including both academic and non-academic matters, such as:

- the enrolment, induction/orientation process;
- the quality of education provided;
- academic issues, including student progress, assessment, curriculum and awards in a course of study;
- handling of personal information and access to personal records;
- the way someone has been treated.

These grievance procedures are designed to ensure that IBIBS responds effectively to individual cases of dissatisfaction.

2.0 Policy coverage

In relation to non-academic grievances, the term “complainant” applies to both current students of IBIBS and persons seeking to enrol with IBIBS.

Complainants are entitled to access the grievance procedures set out in this policy regardless of the location of the campus of IBIBS at which the grievance has arisen, the mode in which they study or their place of residence.
3.0 Before an issue becomes a formal grievance

Complainants are encouraged, wherever possible, to resolve concerns or difficulties informally with the person(s) concerned. There are staff available to assist the resolution of issues at this level. Complainants may raise an informal grievance by contacting Administration in person or by phoning 1300 669 786, and asking to speak to the Student Support Officer. Please note that it is not mandatory for complainants to raise a grievance informally.

4.0 Procedure

This procedure can be utilised by complainants to submit a grievance of an academic or non-academic nature. Grievances of an academic nature include issues related to student progress, assessment, curriculum and awards in a course of study. Grievances of a non-academic nature cover all other matters including grievances in relation to personal information that IBIBS holds in relation to an individual.

During all stages of this procedure IBIBS will take all steps to ensure that:

- the complainant and any respondent will not be victimised or discriminated against;
- the complainant has an opportunity to formally present their case and each party to a grievance may be accompanied and assisted by a support person at any relevant meetings;
- a full explanation in writing for decisions and actions taken as part of the process will be provided if so requested by the complainant or a respondent;
- where the internal or external grievance handling or appeal process results in a decision that supports the complainant, IBIBS will immediately implement any decision and/or corrective and preventative action required and advise the complainant of the outcome;
- A Complainant shall have access to the internal stages of this grievance procedure at no cost. Costs for an external appeal will be shared equally by IBIBS and the Complainant.

4.1 Stage one – formal grievance:

Formal grievances must be submitted in writing marked to the attention of the Registrar as follows:

Registrar
Investment Banking Institute Business School
Level 9, 446 Collins Street,
Melbourne, Victoria 3000

Receipt of the grievance will be acknowledged in writing. The grievance handling process will commence within ten working days of the receipt of the formal grievance and all reasonable measures will be taken to finalise the process as soon as practicable.

The Registrar, or their nominee, will then, if necessary, seek to clarify the outcome that the complainant hopes to achieve.
Such clarification may be sought by written or verbal request or by a face-to-face interview with the complainant. When such clarification occurs in a face-to-face interview the complainant or respondent may ask another person to accompany them.

The Registrar, or their nominee, will then endeavour to resolve the grievance and will provide a written report to the complainant on the steps taken to address the grievance, including the reasons for the decision, within ten working days. The report will further advise the complainant of their right to access the internal appeals process if they are not satisfied with the outcome of their formal grievance.

### 4.2 Stage two – internal appeal:

If a complainant is dissatisfied with the outcome of their formal grievance they may lodge an appeal with the Managing Director (who is senior to the original decision maker) within twenty working days of receiving notification of the outcome of their formal grievance. The Managing Director will appoint an appropriate person or committee to consult with the complainant and other relevant parties within ten working days.

Where possible such consultations should take the form of face-to-face interviews. The complainant or the respondent may ask another person to accompany them to these interviews.

Following the consultation, the Managing Director, or their nominee, will provide a written report to the complainant advising the further steps taken to address the grievance, including the reasons for the decision, within ten working days. The report will further advise the complainant of their right to access the external appeals process if they are not satisfied with the outcome of their internal appeal.

### 4.3 Stage three – external appeal (domestic students):

If the complainant is dissatisfied with the outcome of their appeal and they are a domestic student then an independent mediator will be sourced by IBIBS through Institute of Arbitrators & Mediators Australia (IAMA)

Email - national@iama.org.au
Website - www.iama.org.au
Address - Level 1, 190 Queen Street, MELBOURNE VIC 3000

Costs of such mediation will be shared equally by IBIBS and the Complainant.

IBIBS agrees to be bound by any recommendations arising from the external appeal and the Managing Director will ensure that any recommendations made are implemented within 30 days of receipt of such recommendations.

### 5.0 Further action

If a grievance still remains unresolved after the external dispute resolution process, the complainant may decide to refer the matter to an external agency such as The Anti-Discrimination Board or Consumer Affairs Victoria.
The procedures set out in this document do not replace or modify procedures or any other responsibilities which may arise under other policies or under statute or any other law. Nothing in this policy and procedure limits the rights of individuals to take action under Australia’s Consumer Protection laws. Also, these procedures do not circumscribe an individual's rights to pursue other legal remedies.

6.0 Enrolment status

Where a current student chooses to access this policy and procedure, IBIBS will maintain that person’s enrolment while the grievance handling process is ongoing.

7.0 Record keeping & confidentiality

A written record of all grievances handled under this procedure and their outcomes shall be maintained for a period of at least five years to allow all parties to the grievance appropriate access to these records, upon written request to the Registrar. These records will be maintained at Level 9, 446 Collins Street Melbourne, Victoria 3000.

All records relating to grievances will be treated as confidential and will be covered by IBIBS’s Privacy and Personal Information Policy.

8.0 Approval, publication and training

This Policy and Procedure was agreed to and ratified by the Board of Directors on 6th March 2013.

This Policy and Procedure will be made available to students and persons seeking to enrol with IBIBS through publication on IBIBS’s website (www.ibibs.edu.au).

For the purposes of communicating to and training staff, this Policy and Procedure will form part of the staff induction process (which will facilitated by the Managing Director).

9.0 Version history

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<tr>
<th>Version</th>
<th>Approved by</th>
<th>Approval Date</th>
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<tbody>
<tr>
<td>1.0</td>
<td>Board of Directors</td>
<td>6th March 2013</td>
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